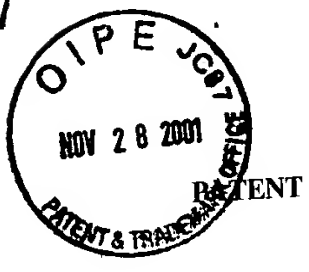


6am 1643

11/30/01

C3



RECEIVED

DEC 04 2001

ATTORNEY DOCKET NO. PARE.002.01US

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert F. Balint *et al*

Serial No.: 09/526,106

Filed: March 15, 2000

For: INTERACTION-ACTIVATED PROTEINS

) Examiner:

)

) Art Unit: 1643

)

) SUPPLEMENTAL INFORMATION

) DISCLOSURE STATEMENT

) TRANSMITTAL

)

BOX DD

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- [X] A Supplemental Information Disclosure Statement.
- [X] A PTO-1449.
- [ ] Copies of references \_\_\_\_ as listed on the PTO-1449.
- [ ] An International Search Report.
- [X] Return postcard (postage prepaid).

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EL 870268949 US

Date of Deposit: November 28, 2001

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Box DD, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA, 22202.

(Signature) Kimberley M. Werr

(Printed Name) Kimberley M. Werr

## PATENT

1. ☒ This Information Disclosure Statement is filed before the later of:
- ☐ three months from the filing date of a national application other than a continued prosecution application under §1.53(d);
  - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
  - ☐ the mailing of a first office action on the merits; or
  - ☒ the mailing of a first Office Action after the filing of request for continued examination under §1.114.
- ☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
- ☐ a final action under 37 C.F.R. § 1.113;
  - ☐ a Notice of Allowance under 37 C.F.R. § 1.311; or
  - ☐ an action that otherwise closes prosecution in the application.
- ☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
- ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00; or
  - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed on or before the payment of the issue fee, but after either:
- ☐ a final action under 37 C.F.R. § 1.113;
  - ☐ a Notice of Allowance under 37 C.F.R. § 1.311; or
  - ☐ an action that otherwise closes prosecution in the application.
- ☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:

PATENT

ATTORNEY DOCKET NO. PARE.002.01US

- ☐ a certification, as stated below; and
- ☐ a fee under 37 C.F.R. §1.17(p) in the amount of \$180.00.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

\$


**TOTAL FEES: \$**

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
  - ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
  - ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

PATENT

Respectfully submitted,

Dated: November 21, 2001

  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

Rae-Venter Law Group, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306-0039  
Telephone (650) 328-4400  
Facsimile (650) 328-4477

BRV/cs



RECEIVED

DEC 04 2001

TECH CENTER 1600/2900

ATTORNEY DOCKET NO. PARE.002.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#8  
AKO  
12.5.01

In re application of: Robert F. Balint *et al*

Serial No.: 09/526,106

Filed: March 15, 2000

For: INTERACTION-ACTIVATED PROTEINS

) Examiner:

)

) Art Unit: 1643

)

) SUPPLEMENTAL INFORMATION

) DISCLOSURE STATEMENT

)

)

**BOX DD**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Supplemental Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98(a)(2)(iii) requiring submission of copies of cited pending U.S. patent applications, and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Copies of references A2 and A3 are not being submitted.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EL 870268949 US

Date of Deposit: November 28, 2001

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Box DD, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA, 22202.

(Signature)

*Kimberley M. Werr*

(Printed Name)

Kimberley M. Werr

PATENT

ATTORNEY DOCKET NO. PARE.002.01US

that the information submitted herewith is in fact material.

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: November 21, 2001



Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

RAE-VENTER LAW GROUP, P.C.  
P.O. Box 60039  
Palo Alto, CA 94306-0039  
Telephone (650) 328-4400  
Facsimile (650) 328-4477

BRV/cs